

PTO/SB/08A (03-03)

Substitute for form 1448A/PTO				Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/811,228
				Filing Date	March 26, 2004
				First Named Inventor	GIFFORD, HANSON
				Art Unit	3738
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	022128-000400US

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cita No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>2</sup>	Number <sup>1</sup>			
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>5</sup>Applicant is to

PTO/SB/08B (08-03)

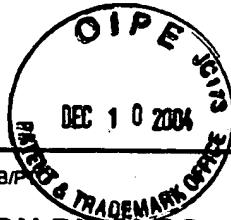
Substitute for Form 1449B/PTO		Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Application Number</b> 10/811,228 <b>Filing Date</b> March 28, 2004 <b>First Named Inventor</b> GIFFORD, HANSON <b>Art Unit</b> 3738 <b>Examiner Name</b> Unassigned <b>Attorney Docket Number</b> 022128-000400US	
Sheet	2	of	2

60391571 v1

Examiner Signature	M. Pfeiffer	Date Considered	11/6/08
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				First Named Inventor	GIFFORD, HANSON
				Art Unit	3738
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Sheet	of			Attorney Docket Number	022128-000400US

<b>U.S. PATENT DOCUMENTS+</b>					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
MP	AA	US-2001/0037129	11-01-2001	Thill	
	AB	US-2003/0045893	03-06-2003	Ginn	
	AC	US-2003/0050665	03-13-2003	Ginn	
	AD	US-5,370,675	12-06-1994	Edwards et al.	
	AE	US-5,500,012	03-19-1996	Brucker et al.	
	AF	US-5,507,744	04-16-1996	Tay et al.	
	AG	US-5,919,200	04-16-1996	Holman et al.	
	AH	US-6,056,760	05-02-2000	Kishigami et al.	
	AI	US-6,401,720	06-11-2002	Gifford et al.	
MP	AJ	US-6,482,224	11-19-2002	Homma et al.	
	AK	US-6,702,835	03-09-2004	Ginn	

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		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		
MP	AL	WO	98/07375	02-26-1998	Homma et al.
MP	AM	WO	03/053493	07-03-2003	Chanduszko et al.
MP	AN	WO	03/082076	10-09-2003	Callaghan et al.

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>

60372113 v1

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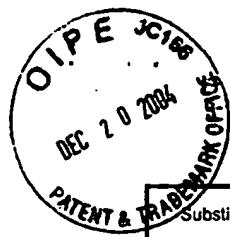
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
Sheet	1	of	3	Application Number	10/811,228
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				First Named Inventor	GIFFORD et al.
				Art Unit	3738
				Examiner Name	Unassigned
				Attorney Docket Number	022128-000400US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MP	1	2004/0059347 A1	03-25-2004	Hamilton	
	2	2004/0243122 A1	12-02-2004	Auth et al.	
	3	2003/0158551	08-21-2003	Paton et al.	
	4	2003/0144652	07-31-2003	Baker et al.	
	5	2003/0093071	05-15-2003	Hauck et al.	
	6	2003/0078578	04-24-2003	Truckai et al.	
	7	2003/0028189	02-06-2003	Woloszko et al.	
	8	2002/0128672	09-12-2002	Dinger et al.	
	9	6,776,784 B2	08-17-2004	Ginn	
	10	6,676,685 B2	01-13-2004	Pedros et al.	
	11	6,583,117	06-24-2003	Owen et al.	
	12	6,562,037	05-13-2003	Paton et al.	
	13	6,398,797	06-04-2002	Bombard et al.	
	14	6,398,782 B1	06-04-2002	Pecor et al.	
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	16	6,391,049	05-21-2002	McNALLY et al.	
	17	6,383,198 B1	05-07-2002	Hamilton	
	18	6,355,030 B1	03-12-2002	Aldrich et al.	
	19	6,323,037	11-27-2001	Lauto et al.	
	20	6,302,898 B1	10-16-2001	Edwards et al.	
	21	6,257,241	07-10-2001	Wampler	
	22	6,221,068	04-24-2001	Fried et al.	
	23	6,168,594	01-02-2001	LaFontaine et al.	
	24	6,132,429	10-17-2000	Baker	
	25	6,087,552	07-11-2000	Gregory	
	26	6,086,586	07-11-2000	Hooven	
	27	6,083,223	07-04-2000	Baker	
	28	6,063,085	05-16-2000	Tay et al.	
	29	6,063,081	05-16-2000	Mulier et al.	
	30	5,931,165	08-03-1999	Reich et al.	
	31	5,928,266	07-27-1999	Kontos	
	32	5,925,078	07-20-1999	Anderson	
	33	5,827,265	10-27-1998	Glinsky et al.	
	34	5,824,015	10-20-1998	Sawyer	
	35	5,749,895	05-12-1998	Sawyer et al.	
	36	5,725,522	03-10-1998	Sinofsky	
MP	37	5,713,891	02-03-1998	Poppas	

Examiner Signature	M. Poppas	Date Considered	11/16/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/811,228	
<i>(use as many sheets as necessary)</i>		Filing Date	March 26, 2004	
		First Named Inventor	GIFFORD et al.	
		Art Unit	3738	
		Examiner Name	Unassigned	
Sheet	2	of	3	
		Attorney Docket Number		022128-000400US

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**Substitute for form 1449B/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

<p>Substitute for form 1449B/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<p><b>Complete If Known</b></p>		
<p>Sheet</p>		<p>3</p>	<p>of</p>	<p>3</p>	<p>Application Number</p>	<p>10/811,228</p>
					<p>Filing Date</p>	<p>March 26, 2004</p>
					<p>First Named Inventor</p>	<p>GIFFORD et al.</p>
					<p>Art Unit</p>	<p>3738</p>
					<p>Examiner Name</p>	<p>Unassigned</p>
					<p>Attorney Docket Number</p>	<p>022128-000400US</p>

## FOREIGN PATENT DOCUMENTS

## **NON PATENT LITERATURE DOCUMENTS**

60377699 v1

Examiner Signature	M. Effler	Date Considered	11/16/06
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60377699 v1



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		<b>Filing Date</b>	March 26, 2004
		<b>First Named Inventor</b>	GIFFORD, HANSON
		<b>Art Unit</b>	3738
		<b>Examiner Name</b>	Unassigned
		<b>Attorney Docket Number</b>	022128-000400US
Sheet	of		

**U.S. PATENT DOCUMENTS+**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	Number <sup>4</sup>			

## NON-PATENT LITERATURE DOCUMENTS

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

60400906 v1

Examiner Signature	Mr. Pfeiffer	Date Considered	11/05/2022
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**Applicant's unique citation designation number (optional).** <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

60400906 v1

<p>Substitute for form 1449A/PTO PATENT AND TRADEMARK OFFICE <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)</p>		<b>Complete if Known</b>			
		Application Number	10/811,228		
		Filing Date	March 26, 2004		
		First Named Inventor	GIFFORD, HANSON		
		Art Unit	3738		
		Examiner Name	Unassigned		
Sheet <b>1</b>	of <b>6</b>	Attorney Docket Number	022128-000400US		

<b>U.S. PATENT DOCUMENTS*</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
WLP	1	2,275,167	03-03-1942	Bieman	
	2	2,888,928	06-02-1959	Seiger	
	3	3,490,442	01-20-1970	Streu	
	4	3,906,955	09-23-1975	Roberts	
	5	4,307,720	12-29-1981	Weber, Jr.	
	6	4,326,529	04-27-1982	Doss et al.	
	7	4,473,077	09-25-1984	Noiles et al.	
	8	4,485,817	12-04-1984	Swiggett	
	9	4,562,838	01-07-1986	Walker	
	10	4,682,596	07-28-1987	Bales et al.	
	11	4,884,567	12-05-1989	Elliot et al.	
	12	4,911,159	03-27-1990	Johnson et al.	
	13	4,919,129	04-24-1990	Weber, Jr. et al	
	14	4,976,711	12-11-1990	Parins et al.	
	15	4,986,889	01-22-1991	Charamathieu et al.	
	16	5,042,707	08-27-1991	Taheri	
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	18	5,057,107	10-15-1991	Parins et al.	
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	20	5,078,717	01-07-1992	Parins et al.	
	21	5,099,827	03-31-1992	Melzer et al.	
	22	5,125,928	06-30-1992	Parins et al.	
	23	5,156,608	10-20-1992	Troidl et al.	
	24	5,171,311	12-15-1992	Rydell	
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	28	5,209,756	05-11-1993	Seedhom et al.	
	29	5,295,955	03-22-1994	Rosen et al.	
	30	5,336,252	08-09-1994	Cohen	
	31	5,342,413	08-30-1994	Hirschberg et al.	
	32	5,345,935	09-13-1994	Hirsch	
	33	5,505,730	04-09-1996	Edwards	
	34	5,665,109	09-09-1997	Yoon	
	35	5,730,742	03-24-1998	Wojciechowicz	
	36	5,782,899	07-21-1998	Imran	
	37	5,814,065	09-29-1998	Diaz	
	38	5,846,196	12-08-1998	Siekmeyer et al.	
	39	5,855,312	01-05-1999	Toledano	
	40	5,871,443	02-16-1999	Edwards et al.	
	41	5,944,738	08-31-1999	Amplatz et al.	

Examiner Signature	<i>WLP</i>	Date Considered	11/16/06
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alp	42	5,972,023	10-26-1999	Tanner et al.	
	43	5,972,024	10-26-1999	Northrup, III et al.	
	44	6,004,316	12-21-1999	Laufer	
	45	6,036,699	03-14-2000	Andreas et al.	
	46	6,149,660	11-21-2000	Laufer et al.	
	47	6,156,032	12-05-2000	Lennox	
	48	6,290,674	09-18-2001	Roue et al.	
	49	6,419,669	07-16-2002	Frazier et al.	
	50	6,436,088	08-20-2002	Frazier et al.	
	51	6,456,865	09-24-2002	Samson	
	52	6,458,100	10-01-2002	Roue et al.	
	53	6,514,250	02-04-2003	Jahns et al.	
	54	6,558,314	05-06-2003	Adelman et al.	
	55	6,584,360	06-24-2003	Francischelli et al.	
	56	6,589,237	07-08-2003	Woloszko	
	57	6,606,523	08-12-2003	Jenkins	
	58	6,641,604	11-04-2003	Adelman	
	59	6,645,202	11-11-2003	Pless et al.	
	60	6,645,225	11-11-2003	Atkinson	
	61	6,648,897	11-18-2003	Hamilton	
	62	6,652,518	11-25-2003	Wellman	
	63	6,669,693	12-30-2003	Friedman	
	64	6,682,546	01-27-2004	Amplatz	
	65	6,712,804	03-30-2004	Roue et al.	
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	68	6,730,108	05-04-2004	Van Tassel et al.	
	69	6,736,810	05-18-2004	Hoey et al.	
	70	6,755,790	06-29-2004	Stewart et al.	
	71	6,790,218	09-14-2004	Jayaraman	
	72	6,846,319	01-25-2005	Ginn et al.	
	73	6,887,238	05-03-2005	Jahns	
	74	2002/0143322	10-03-2002	Haghghi	
	75	2003/0028189	02-06-2003	Woloszko et al.	
	76	2003/0065364	04-03-2003	Wellman et al.	
	77	2003/0069570	04-10-2003	Witzel	
	78	2003/0120268	06-26-2003	Bertolero et al.	
	79	2003/0144694	07-31-2003	Chanduszko et al.	
	80	2003/0225421	12-04-2003	Peavey et al.	
	81	2003/0233091	12-18-2003	Whayne et al.	
	82	2004/0098031	05-20-2004	Van der Burg et al.	

Examiner Signature	M. Pffley	Date Considered	11/16/06
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		Number	Kind Code <sup>2</sup> (If known)		
MP	83	2004/0098042		05-20-2004	Devellian et al.
	84	2004/0102721		05-27-2004	McKinley
	85	2004/0143292		07-22-2004	Marino et al.
	86	2004/0153057		08-05-2004	Davison
	87	2004/0153098		08-05-2004	Chin et al.
	88	2004/0176799		09-09-2004	Chanduszko et al.
	89	2004/0220596		11-04-2004	Frazier et al.
	90	2004/0249398		09-04-2004	Ginn
	91	2005/0021059		01-27-2005	Cole et al.
	92	2005/0033288		02-10-2005	Auth et al.
	93	2005/0033327		02-10-2005	Gainor et al.
	94	2005/0055050		03-10-2005	Alfaro
	95	2005/0065506		03-24-2005	Phan
	96	2005/0065509		03-24-2005	Coldwell et al.
	97	2005/0070923		03-31-2005	McIntosh
	98	2005/0119675		06-02-2005	Adams et al.
MP	99	RE33925		05-12-1992	Bales et al.

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		Country Code <sup>3</sup>	Number <sup>4</sup>		
MP	100	EP	135840	A2	04-13-1985
	101	EP	199694	A2	10-29-1986
	102	EP	0265532	A1	05-04-1988
	103	EP	0375556	A1	06-27-1990
	104	EP	0428812	A1	05-29-1991
	105	EP	0947165	A1	10-06-1999
	106	GB	1260919		01-19-1972
MP	107	GB	1550676		08-15-1979
MP	108	GB	2 359 024	A	08-15-2001

Examiner Signature	M. Deffley	Date Considered	11/16/06
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/811,228
				Filing Date	March 26, 2004
				First Named Inventor	GIFFORD, HANSON
				Art Unit	3738
				Examiner Name	Unassigned
Sheet	4	of	6	Attorney Docket Number	022128-000400US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
MP	109	WO	85/00018	A1	01-03-1985	Medical Developments Limited		<input type="checkbox"/>
	110	WO	90/04352	A1	05-03-1990	Nellcor Incorp.		<input type="checkbox"/>
	111	WO	91/15996	A1	10-31-1991	Egnell Ameda Limited		<input type="checkbox"/>
	112	WO	92/04864	A1	04-02-1992	Merwe Marius V D		<input type="checkbox"/>
	113	WO	93/05705	A1	04-01-1993	Surgic-Raft Limited		<input type="checkbox"/>
	114	WO	93/15791	A1	08-19-1993	Dimed Inc.		<input type="checkbox"/>
	115	WO	94/00178	A1	01-06-1994	Schneider USA Inc.		<input type="checkbox"/>
	116	WO	99/18862	A1	04-22-1999	Hearten Medical Inc.		<input type="checkbox"/>
	117	WO	99/18864	A1	04-22-1999	Hearten Medical Inc.		<input type="checkbox"/>
	118	WO	00/07506	A2	02-17-2000	Anson Medical Ltd		<input type="checkbox"/>
	119	WO	00/09027	A1	02-24-2000	Keravision, Inc.		<input type="checkbox"/>
	120	WO	01/78596	A1	10-25-2001	Appriva Medical Inc		<input type="checkbox"/>
	121	WO	03/022159	A1	03-20-2003	Core Medical Inc		<input type="checkbox"/>
	122	WO	03/022160	A1	03-20-2003	Core Medical Inc		<input type="checkbox"/>
	123	WO	03/026496	A2	04-03-2003	Ethicon, Inc		<input type="checkbox"/>
	124	WO	03/071957	A2	09-04-2003	Integrated Vascular		<input type="checkbox"/>
	125	WO	03/094742	A1	11-20-2003	Velocimed LLC		<input type="checkbox"/>
	126	WO	2004/019791	A2	03-11-2004	Scimed Life Systems Inc		<input type="checkbox"/>
	127	WO	2004/082532	A1	09-30-2004	EV3 Sunnyvale Inc.		<input type="checkbox"/>
	128	WO	2004/091411	A2	10-28-2004	Velocimed PFO Inc		<input type="checkbox"/>
	129	WO	2005/006990	A2	01-27-2005	NMT Medical Inc		<input type="checkbox"/>
MP	130	WO	2005/027753	A1	03-31-2005	St Jude Medical		<input type="checkbox"/>
	131	WO	2005/034738	A2	04-21-2005	Proximare Inc		<input type="checkbox"/>

Examiner Signature	M. Doherty	Date Considered	11/16/06
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Substitute for form 1449B/PTO		Complete If Known			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/811,228		
		Filing Date	March 26, 2004		
		First Named Inventor	GIFFORD, HANSON		
		Art Unit	3738		
		Examiner Name	Unassigned		
Sheet	5	of	6	Attorney Docket Number	022128-000400US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
MP	132	ANZOLA et al., "Potential Source of Cerebral Embolism in Migraine with Aura," <i>Neurology</i> (1999) 52(8): 1622		T <sup>2</sup>
	133	De CASTRO et al., "Morphological and Functional Characteristics of Patent Foramen Ovale and Their Embolic Implications," <i>Stroke</i> (October 2002), pp. 2407-2413		
	134	CORDIS CORPORATION, Cordis Ducor® Lumeleo™ Electorode Catheters [brochure], Cordis Corporation, (December 1984), 2 pages.		
	135	DEL SETTE, "Migraine with Aura and Right-to-Left Shunt on Transcranial Doppler: A Case Control Study," <i>Cerebrovas Dis</i> (1998) 8:327-330		
	136	GILLETTE, "Catheter Ablation in Dysrhythmias," <i>Cardio</i> , (March 1984), pp. 67-69		
	137	HO et al., "Morphological Features Pertinent to Interventional Closure of Patent Oval Foramen," <i>J Interventional Cardiology</i> , Vol. 16 No. 1, (2003), pp. 33-34		
	138	KENNEDY et al., "High-burst-Strength, feedback-controlled bipolar vessel sealing," <i>Surg Endosc</i> (1998) 12:876-878		
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	141	MORANDI et al., "Transcatheter Closure of Patent Foramen Ovale: A New Migraine Treatment?" <i>J Interventional Cardiology</i> , (2003), 16(1): 39-42		
	142	PFLEGER, "Haemodynamic Quantification of Different Provocation Manoeuvres by Simultaneous Measurement of Right and Left Atrial Pressure: Implications for the Echocardiographic Detection of Persistent Foramen Ovale," <i>Eur J Echocardiography</i> (2001) 2: 88-93.		
	143	POLGAR et al., "A New Technique for Closed-Chest Human His Bundle Ablation Using Suction Electrode Catheter and DC Shock," In: Perez Gomez F, ed. <i>Cardiac Pacing Electrophysiology Tachyarrhythmias</i> . Madrid, Spain: Grouz Publishers; 1985:1582-1586		
	144	POLGAR et al., "Comparison of Two Different Techniques for Closed-Chest His Bundle Ablation," In: Perez Gomez F, ed. <i>Cardiac Pacing Electrophysiology Tachyarrhythmias</i> . Madrid, Spain: Grouz Publishers; 1985:1578-1587		
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Examiner Signature	M. O'Flaherty	Date Considered	11/16/06
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<i>Application Number</i>	10/811,228
(use as many sheets as necessary)				<i>Filing Date</i>	March 26, 2004
				<i>First Named Inventor</i>	GIFFORD, HANSON
				<i>Art Unit</i>	3738
				<i>Examiner Name</i>	Unassigned
Sheet	6	of	6	<i>Attorney Docket Number</i>	022128-000400US

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MP	146	STUART, "What's All the Flap About PFO Closure?," <i>Start-Up: Windhover's Review of Emerging Medical Ventures</i> , (Nov 10, 2004), pp. 9-14	
	147	SZTAJZEL et al., "Patent Foramen Ovale, a Possible Cause of Symptomatic Migraine: A Study of 74 Patients with Acute Ischemic Stroke," <i>Cerebrovas Dis</i> (2002) 13: 102-106	
	148	THOMAS, "Patent Foramen Ovale with Right-to-left Shunting: Echocardiographic Alternatives," <i>Eur J Echocardiography</i> (2001) 2:74-75	
W	149	WILMHURST et al., "Effect on Migraine of Closure of Cardiac Right-to-Left Shunts to Prevent Recurrence of Decompression Illness of Illness or Stroke or for Haemodynamic Reasons," <i>The Lancet</i> , Vol. 356, (November 11, 2000), pp. 1648-1651	
MP	150	WILMHURST et al., "Relationship between Migraine and Cardiac and Pulmonary Right to Left Shunts," <i>Clinical Science</i> (2001) 100:215-220	

Examiner Signature	<i>M. Pfeiffer</i>	Date Considered	11/6/06
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	3	Attorney Docket Number	022128-000400US
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### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MP	1	3,874,388	04-01-1975	King et al.	
	2	4,788,975	12-06-1988	Shturman et al	
	3	4,798,594	01-17-1989	Hillstead	
	4	4,895,565	01-23-1990	Hillstead	
	5	5,041,095	08-20-1991	Littrell	
	6	5,292,362	03-08-1994	Bass et al.	
	7	5,380,304	01-10-1995	Parker	
	8	5,383,917	01-24-1995	Desai et al.	
	9	5,620,481	04-15-1997	Desai et al.	
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	11	6,086,570	07-11-2000	Aboul-Hosn et al.	
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	22	6,946,134	09-20-2005	Rosen et al.	
	23	2001/0051803	12-13-2001	Desai et al.	
	24	2003/0199868	10-23-2003	Desai et al.	
	25	2004/0092973	05-13-2004	Chanduszko et al.	
	26	2005/0075665	04-07-2005	Brenzel et al.	
	27	2005/0125032	06-09-2005	Whisenant et al.	
	28	2005/0171526	08-04-2005	Roux et al.	
	29	2005/0187568	08-25-2005	Klenk et al.	
	30	2005/0192626	09-01-2005	Widomski et al.	
	31	2005/0192627	09-01-2005	Whisenant et al.	
	32	2005/0192654	09-01-2005	Chanduszko et al.	
	33	2005/0209636	09-22-2005	Widomski et al.	
	34	2005/0216054	09-29-2005	Widomski et al.	
	35	2005/0251201	11-10-2005	Roue et al.	

Examiner Signature	M. Peffley	Date Considered	11/16/06
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60724750 v1

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	10/811,228
				Filing Date	March 26, 2004
				First Named Inventor	GIFFORD, HANSON
				Art Unit	3739
				Examiner Name	PEFFLEY, MICHAEL F
(Use as many sheets as necessary)		Sheet <b>2</b>	of <b>3</b>	Attorney Docket Number	022128-000400US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
MP	36	2005/0256532		11-17-2005	Nayak et al.
	37	2005/0267495		12-01-2005	Ginn et al.
MP	38	2005/0267525		12-01-2005	Chanduszko
MP	39	2006/0036284		02-16-2006	Blaeser et al.

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		
MP	40	WO	99/23959	A1	05-20-1999	VALLEYLAB INC
	41	WO	01/013810	A1	03-01-2001	MIRACLE MEDICAL SURGICAL TECHN
	42	WO	2005/046487	A1	12-08-2005	NMT Medical Inc
MP	43	WO	2005/074814	A2	08-18-2005	NMT Medical Inc
MP	44	WO	2005/115256	A	12-08-2005	OVALIS INC

Examiner Signature	<i>Mr. Peffley</i>	Date Considered	11/16/04
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60724750 v1

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				Application Number	10/811,228
				Filing Date	March 26, 2004
				First Named Inventor	GIFFORD, HANSON
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				Examiner Name	PEFFLEY, MICHAEL F
Sheet	3	of	3	Attorney Docket Number	022128-000400US

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<i>MP</i>	45	ATHIRAMAN et al., "Selective Photothermal Tissue Interaction Using 805-nm Laser and Indocyanine Green in Tissue Welding," <i>Journal of X-Ray Science and Technology</i> , Vol. 12, No. 2, (2004), pp. 117-126.	<input type="checkbox"/>	
	46	FENNER et al., "Shear Strength of Tissue Bonds as a Function of Bonding Temperature: A Proposed Mechanism for Laser-Assisted Tissue Welding," <i>Lasers in Medical Science</i> , Vol. 7, (1992), pp.39-43.	<input type="checkbox"/>	
	47	GODLEWSKI et al., "Applications and Mechanisms of Laser Tissue Welding in 1995: Review," <i>Proc. SPIE</i> , Vol. 2623, (Jan 1996) pp. 334-341.	<input type="checkbox"/>	
	48	OLSON et al., "Developing An Animal Model for the Study of Fusion Using RF Energy," <i>Proc. SPIE</i> , Vol. 5312, (2004), pp. 147-161.	<input type="checkbox"/>	
	49	OTT et al., "Comparative in Vitro Study of Tissue Welding Using a 808 nm Diode Laser and a Ho:YAG laser," <i>Lasers Med Sci</i> , Vol. 16, (2001) pp. 260-266.	<input type="checkbox"/>	
	50	POPPAS et al., "Temperature-Controlled Laser Photocoagulation of Soft Tissue: in Vivo Evaluation Using a Tissue Welding Model," <i>Lasers Surg Med.</i> , Vol. 18, No. 4, (1996), pp.335-344.	<input type="checkbox"/>	
	51	STEWART et al., "Laser Assisted Vascular Welding with Real Time Temperature Control," <i>Lasers Surg Med.</i> , Vol. 19, No. 1, (1996), pp. 9-16.	<input type="checkbox"/>	
	52	TANG et al., "Quantitative Changes in Collagen Levels Following 830-nm Diode Laser Welding," <i>Lasers Surg Med.</i> , Vol. 22, No. 4, (1998), pp. 207-211.	<input type="checkbox"/>	
	53	TANG et al., "Morphologic Changes in Collagen Fibers after 830 nm Diode Laser Welding," <i>Lasers Surg Med.</i> , Vol. 21, No. 5 (1997), pp. 438-43.	<input type="checkbox"/>	

Examiner Signature	<i>M. Peffley</i>	Date Considered	4/6/06
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